

**Webinar on
“Workshop on Considering Non-Compliances”
30 September 2025 at 9:00 am – 12:30 pm**

1. Learning Outcomes

- Review the provisions of the Capital Markets & Services Act 2007 (CMSA) and relevant guidelines relating to non-compliances for initial public offering (IPO) applications;
- Apply a systematic thought process to assess the possible impact of non-compliances on the applicant's suitability for listing and possible options available to the applicant;
- Analyse the frequently raised issues related to non-compliances in IPO applications and consider if these issues can be resolved with appropriate disclosures in prospectuses.

2. Tentative Programme

9:00 am – 9:20 am	:	Session 1: Capital Markets and Services Act 2007 (CMSA) Provisions and Guideline • Relevant CMSA provisions and guidelines relating to non-compliances for IPO applications
9:20 am – 10:45 am	:	Session 2: Thought Process to Consider Non-Compliance (Part 1) • Understanding, analysing and classifying • Quantifying; Can there be thresholds?
10:45 am – 11:00 am (15 minutes)	:	Break
11:00 am – 12:15 pm	:	Session 2: Thought Process to Consider Non-Compliance (Part 2) • Tainting; Is it possible to forgive and forget? • Disclosing; Will disclosure cure the issue? • Case Studies
12:15 pm – 12:30 pm	:	Session 3: Frequently Raised Issues • Perils of disclosing • Qualitative vs Quantitative • Corporate governance considerations

3. Target Audience

MIBA Members' Corporate Finance personnel

4. Mode / Duration

Online up to 3 hours and 15 minutes (excluding breaks)

5. Level

Intermediate



6. ICF Competencies

Foundational (Regulatory) Capital Market Products Regulations (FOR04) (Level 3)
Behavioural (Self-Management) Analytical Thinking (BSM01) (Level 3)

7. Accreditation

Securities Industry Development Corporation (SIDC)'s 10 Continuing Professional Education (CPE) Points

8. Registration Fee

MIBA Member : RM1,100 per participant

9. Number of Participants

Up to 25 participants per session.

10. Speaker's Profile

Datin Hayati Aman Hashim

- Graduated with a Bachelor of Science in Accounting and Master in Business Administration (Corporate Finance) from Northern Illinois University, Illinois, USA.
- Qualified as a Certified Public Accountant of the American Institute of Public Accountants and Malaysian Institute of Public Accountants ("MICPA"). Member of the Malaysian Institute of Accountants and MICPA; and a member of MICPA's Commercial, Industry and Public Sector Committee.
- Worked for 10 years in audit and corporate restructuring departments in 2 of the Big 4 Accounting Firms in Malaysia and USA; including 2 years as an Assurance Manager in KPMG Houston.
- Headed the Accounting, Finance, Treasury and Tax functions of a public listed company for 11 years.
- Head of Corporate Finance – Equities, Corporate Finance & Investments (one of two department heads), of the SC for almost 10 years. Among other roles, this department reviews applications for IPO, Reverse Take-overs and Transfer Listings and makes the appropriate recommendations to the SC Committee.
- Led the review for SC's Prospectus Guidelines (2017), the Abridged Prospectus Guidelines (2018), the Electronic Prospectus (2019), and the issuance of new Guidance for the Circular to Shareholders for Significant Acquisitions (2018). Was significantly involved in the discussions over the new IPO approval process (announced in July 2020), the drafting of the Guidelines on Submission of Corporate and Capital Product Proposals and the review of the various key areas of the Equity Guidelines (up to 2019).