Webinar

Case Studies on Money Laundering and Terrorism Financing in the Capital Market

5 May 2021   10:00 am – 12:00 noon

ABOUT THE PROGRAMME

Money laundering (ML) and terrorism financing (TF) in the capital market continues to remain challenging due to the complex methods and sophisticated techniques used by criminals. Given the multilayered nature of transactions and diverse products and services combined with various parties and jurisdictions involved, it makes mitigation against ML and TF difficult.

The capital markets may be used to layer and integrate proceeds from ML/TF activities and commingling them with legitimate assets. It also provides opportunities to generate further illicit funds from such activities. It is imperative for institutions in the capital market to enhance monitoring capabilities focusing on customer due diligence to identify potential red flags when assessing such transactions.

This programme will provide a brief overview of the anti-money laundering and combating the financing of terrorism (AML/CFT) developments in Malaysia and the region including new AML regulations, initiatives and technology. The webinar will also examine detailed case studies on how capital market transactions may be used for ML/TF activities as well as methods utilized by criminals to carry out illegal schemes through the capital market. In addition, common behaviors and red flags of criminals during onboarding and throughout the business relationship will be evaluated.

LEARNING OUTCOMES

- Review the latest AML/CFT developments, regulations, initiatives and technological advancements in Malaysia and the region.
- Examine how capital market transactions may be used for ML/TF activities.
- Assess the methods and behavior of money laundering criminals as well as identify red flags in capital market transactions.
- Analyze a compliance officer's role in identifying suspicious customer/transactions at different junctures.
- Develop techniques to prevent, identify and report suspicious customer/transactions.

FEE

MIBA Member   : **RM500.00** per participant
Non-MIBA Member : **RM650.00** per participant
10% discount applies for group registration of 5 or more from the same organisation

WHO SHOULD ATTEND

- Compliance, Governance, Operations, Credit Officers
- Internal Auditors
- Legal Advisers
- Risk Management Practitioners
- Investment Bankers
- Individuals interested to understand ML/TF in the capital market

SPEAKER

Ms Radish Singh
SEA Financial Crime Compliance Leader
Deloitte & Touche Financial Advisory Services Pte Ltd

Radish has over 22 years of experience in the field of financial services regulations, compliance, anti-bribery and corruption, conduct of business and Financial Crime Compliance (FCC).

She currently leads Deloitte's South East Asia FCC practice. Her clientele includes major global and local banks in Singapore. Broadly, her experience includes regulatory compliance, FCC and Know Your Customer (KYC) requirements, enterprise wide AML/CFT risk assessments, globally benchmarked business conduct policy formulation, legislative drafting, conducting country level Financial Action Task Force assessments as a regulator, implementing anti-bribery and corruption policies, advising on data protection matters, working on policy for exchange demutualization and self-funding model for a regulatory agency, stock exchange supervision and securities laws.

She has in her previous role led an engagement with the Association of Banks in Singapore to revise and modernize their AML guidelines for the banking industry in Singapore. She has advised the Institute of Banking and Finance Singapore on revising their compliance and AML industry standards modules. As a subject matter expert in the field, Radish has been leading numerous projects on financial crime compliance including AML gap assessments, KYC reviews and remediation, sanctions, risk assessments, anti-bribery and corruption investigations, fraud risk assessment, conduct risk, trade based money laundering (TBML) compliance and transactions monitoring optimization / model validation / assessment.

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Closing Date for Registration : 28 April 2021

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